

United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

11/650,568 01/08/2007 Anurag Mittal 550-899

23117 NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON, VA 22203 FORMALITIES LETTER

Date Mailed: 02/07/2007

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 300 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$650 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1780 for a non-small entity

- \$300 Statutory basic filing fee.
- \$130 Surcharge.
- The application search fee has not been paid. Applicant must submit \$500 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity.
- Total additional claim fee(s) for this application is \$650
 - \$650 for 13 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

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Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

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If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382

PART 3 - OFFICE COPY

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-317

SERIAL NO. 10/587,323

FFR 0 5 7007 (Use several sheets if necessary)

APPLICANT: Janet Boggs et al.

FILING DATE

GROUP

				FILING DATE July 26, 2006			
YP /		U.S.	PATENT DOCUMENT	rs			
	Document Number	Date	Name	Class	Subclass		Date opriate
AA	1,092,141	11/67	Daikin et al.				
АВ	2,559,749	07/51	Benning				
AC	2,567,011	09/51	Diesslin et al.				
AD	2,597,702	05/52	Benning				
AE	2,995,542	08/61	Brown				
AF	3,083,224	03/63	Brace et al.				
AG	3,096,207	07/63	Cohen				
АН	3,112,241	11/63	Mackenzie				
AI	3,145,222	08/64	Brace				
AJ	3,172,910	03/65	Brace				
AK	3,194,840	07/65	Berry				
AL	3,238,235	03/66	Hauptschein et al.				
		FOREI	GN PATENT DOCUME	ENTS			
	Document				Subolace	Trans	lation
	Number	Date	Country	Olass	Subciass	Yes	No
АМ	EP 765676	04/97	Europe (abstract)			х	
AN	DE 2120868	11/71	Germany (abstract))		X	
AO	DE 2239709	02/73	Germany (abstract))		X	
AP	DE 2247111	04/74	Germany (abstract))		Х	
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AQ	Fluoroalk	yl End-Cap	pped Oligomers and T				
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	AB AC AD AE AF AG AH AI AJ AK AL AM AN AO AP	AA 1,092,141 AB 2,559,749 AC 2,567,011 AD 2,597,702 AE 2,995,542 AF 3,083,224 AG 3,096,207 AH 3,112,241 AI 3,145,222 AJ 3,172,910 AK 3,194,840 AL 3,238,235 Document Number AM EP 765676 AN DE 2120868 AO DE 2239709 AP DE 2247111 OTHER REFE AQ Sawada, Fuoroalky Chemistry	AA	Document Number Date Name	July 26, 2006	July 26, 2006 U.S. PATENT DOCUMENTS	U.S. PATENT DOCUMENTS

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

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APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

FILING DATE July 26, 2006

GROUP Unknown

	,		U.S.	PATENT DOCUMENTS				
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	АА	3,256,231	06/66	Johnson, Jr. et al.				
	AB	3,282,905	11/66	Fasick et al.				
	AC	3,304,278	02/67	Hauptschein et al.				
	AD	3,351,643	11/67	Hauptschein et al.				
	AE	3,351,644	11/67	Hauptschein et al.				
	AF	3,450,755	06/69	Ahlbrecht				
	AG	3,457,247	07/69	Katsushima et al.				
-	АН	3,458,571	07/69	Tokoli			:	
	Al	3,475,333	10/69	Meldrum et al.				
	AJ	3,491,169	01/70	Raynolds et al.				
	AK	3,497,575	02/70	Kleiner et al.				
	AL	3,498,958	03/70	Ray-Chaudhuri et al.			- 11 · 1 · -	
		,	FOREIC	GN PATENT DOCUMENTS	;			
		Document Number	Date	Country	Class	Subclass	Trans Yes	lation No
	АМ	DE 2247182	04/74	Germany (abstract)			х	
···	AN	GB 2 070 595	02/80	United Kingdom			Х	
	AO	GB 2 018 759	04/78	United Kingdom			Х	
	АР	JP 04272986	09/92	Japan (abstract)			х	
	<u> </u>	OTHER REFER	ENCES (i	ncluding Author, Title, Date, P	ertinent Paç	ges, Etc.)		

EXAMINER

Acid Oligomers as a New Class of Human Immunodeficiency Virus Inhibitors", Journal of Fluorine Chemistry 79 (1996), pgs. 149-155

DATE CONSIDERED

Sawada, et al.; "Synthesis and Surfactant Properties of Fluoroalkylated Sulfonic

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 3 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-317 10/587,323 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Document Filing Date Examiner Date Name Class Subclass Number If Appropriate Initial AΑ 3,514,420 05/70 Katsushima et al. AB 3,532,659 10/70 Hager et al. AC 10/70 3,536,749 Groves 3,544,663 12/70 AD Hauptschein et al. ΑE 3,574,518 04/71 Detomaso 3,575,940 ΑF 04/71 Katsushima et al. 11/71 Voss et al. AG 3,623,963 AH 3,636,085 01/72 Kleiner ΑI 3,721,706 03/73 Hoffman et al. 08/73 ΑJ 3,752,783 lwantani 09/73 Hager et al. ΑK 3,759,981 3,816,277 06/74 Haszeldine et al. AL FOREIGN PATENT DOCUMENTS Translation Document Date Country Class Subclass Number Yes No X AM JP 04272987 09/92 Japan (abstract) X JP 43020466 AN 09/68 Japan (abstract) X AO JP 44001216 01/69 Japan (abstract) ΑP JP 48023684 03/73 Japan (abstract) X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Krafft, et al.; "Highly fluorinated amphiphiles and colloidal systems...."; AQ **Biochimie 1998; 1 page; (Database 1998:620482 CAPLUS)** Nivet et al; "Synthesis and bioacceptability of fluorinated...."; European Journal AR of Medicinal Chemistry, 1992; 1 page; (Database 1993:408334 CAPLUS)

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-317 10/587,323 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) **GROUP** FILING DATE July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Name Class Subclass Initial Number If Appropriate AA 3,824,126 07/74 Katsushima et al. 10/74 AB 3,839,425 **Bartlett** AC 3,883,596 05/75 Hager et al. AD 3,899,484 08/75 Walter ΑE 3,906,049 09/75 Hager et al. ΑF 3,907,576 09/75 Dear et al. AG 3,919,361 11/75 Katsushima et al. ΑH 3,933,819 01/76 Toukan et al. 05/76 ΑI 3,957,657 Chiesa, Jr. 12/76 Dear et al. 4,000,188 AJ ΑK 4,043,923 08/77 Loudas ΑL **FOREIGN PATENT DOCUMENTS** Translation Document Date Country Class Subclass Number Yes No Χ AM JP 49040040 10/74 Japan (abstract) Χ AN JP 53081799 07/78 Japan (abstract) 05/80 X AO JP 55071779 Japan (abstract) ΑP JP 56122336 09/81 Japan (abstract) X

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

AQ	Sawada et al.; "Synthesis and properties of"; European Polymer Journal, 1999, 1 page; (Database 1999:411635 CAPLUS)
AR	Nivet et al.; "Evaluation of a series of"; Journal of Dispersion Science and Technology, 1992; 1 page; (Database 1993:66807 CAPLUS)

EXAMINER

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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SERIAL NO. 10/587,323

LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

APPLICANT: Janet Boggs et al.

FILING DATE July 26, 2006 GROUP Unknown

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			U.S.	PATENT DOCUMENTS	S			
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	АА	4,060,132	11/77	Chiesa Jr.				
	АВ	4,060,489	11/77	Chiesa Jr.			_	
	AC	4,081,399	03/78	Dear et al.				
	AD	4,089,804	05/78	Falk				
	AE	4,113,748	09/78	Hager et al.				
	AF	4,126,633	11/78	Toukan et al.				
	AG	4,127,711	11/78	Lore et al.				
	АН	4,134,754	01/79	Hoffman				
	Al	4,145,382	03/79	Hayashi et al.				
	AJ	4,147,851	04/79	Raynolds				
	AK	4,170,636	10/79	Engel et al.				
	AL	4,177,351	12/79	Toukan et al.				
			FOREI	GN PATENT DOCUME	NTS			
		Document Number	Date	Country	Class	Subclass	Trans	lation
	AM	JP 56169666	12/81	Japan (abstract)			Yes	No
	AN	JP 58042682	03/83	Japan (abstract)			Х	
	AO	JP 58201752	11/83	Japan (abstract)			X	
	AP	JP 59059977	04/84	Japan (abstract)			х	
		OTHER REFER	RENCES (including Author, Title, Dat	te, Pertinent Pag	jes, Etc.)		
	AQ			sis of new ***perfluoro			ırnal of	
	AR	Sawada et	al.; "Nov	el fluorinated"; Niho 279 CAPLUS)			page;	

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Sheet 7 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-317 10/587,323 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary). FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Filing Date Examiner Document Date Name Class Subclass Number If Appropriate Initial 4,388,212 06/83 Richter AΑ AB 4,419,298 12/83 Falk et al. AC 12/83 Falk 4,420,434 AD 01/84 Mulligan 4,424,133 07/84 ΑE 4,460,480 Kleiner et al. AF 4,464,267 08/84 Chiesa, Jr. et al. 09/84 Falk AG 4,472,286 ΑН 4,478,975 10/84 Dessaint et al. ΑI 4,486,391 12/84 Hashimoto FOREIGN PATENT DOCUMENTS Translation Document. Date Class Subclass Country Number Yes No X ΑJ JP 2000159840 06/00 Japan (abstract) X ΑK JP 2003312156 11/03 Japan (abstract) US2005/003429 01/05 PCT-IPRP/Written AL X Opinion X US05/03429 01/05 AΜ PCT-Int'l Search Rpt US2005/002617 01/05 PCT-IPRP/Written ΑN X Opinion PCT-Int'l Search Rpt X AO US05/02617 01/05

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

АР	Szonyi et al.; "Synthesis of new amphoteric double-chain"; Rivista Italiana delle Sostanze Grasse, 1998; 1 page; (Database 1998:465664 CAPLUS)
AQ	Prescher et al.; "Nitrogen-containing fluorosurfactants:"; Tenside, Surfactants, Detergents, 1992; 1 page; (Database 1992:653923 CAPLUS)

EXAMINER

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Sheet 8 of 13 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE SERIAL NO. ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE PC3-317 10/587,323 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) FILING DATE **GROUP** July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Document Examiner Filing Date Date Name Class Subclass Number If Appropriate Initial AΑ 4,507,859 04/85 Shinjo AB 4,563,287 01/86 Hisamoto et al. AC 05/86 4,591,473 Lofquist et al. 07/86 AD 4,600,774 Koshar ΑE 4,697,011 09/87 DesMarteau ΑF 4,717,744 01/88 Boutevin et al. 01/88 AG 4,720,578 Liu AH 4,760,205 07/88 Probst et al. ΑI 4,833,274 05/89 Caporiccio et al. 4.879.324 11/89 AJ Lausberg et al. FOREIGN PATENT DOCUMENTS Translation Document Date Country Class Subclass Number Yes No US2005/003433 01/05 PCT-IPRP/Written ΑK Χ Opinion X ΑL US05/03433 01/05 PCT-Int'l Search Rpt 01/05 **PCT-IPRP/Written** US2005/003137 X ΑM Opinion Χ ΑN US05/03137 01/05 PCT-Int'l Search Rpt OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enjalbert et al.; "Synthesis fo new double-chain cationic...."; Tenside, ΑO Surfactants, Detergents, 1998; 1 page; (Database 1992:550675 CAPLUS) Rzhevskii et al.; "Testing of ***surfactants***...."; Tsvetnye Metally (Moscow), AP 1998; 1 page; (Database 1999:114673 CAPLUS) Murata et al.; "Selective synthesis of fluorinated ethers...."; The Royal Society AQ of Chemistry, 2002; pps. 60-63 **EXAMINER** DATE CONSIDERED

Sheet 9 of 13 Form PTO-1449 ATTY. DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE 10/587,323 PATENT AND TRADEMARK OFFICE PC3-317 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) GROUP FILING DATE July 26, 2006 Unknown **U.S. PATENT DOCUMENTS** Document Filing Date Examiner Date Name Class Subclass Initial Number If Appropriate AA 4,985,526 01/91 Kishita et al. 01/91 AB 4,983,769 Bertocchio et al. 02/90 AC 4,898,981 Falk et al. ΑD 5,026,910 06/91 Bollens et al. 09/91 Fernandez et al. ΑE 5,045,634 AF 5,091,550 02/92 Falk et al. 04/92 Bollens et al. AG 5,107,021 07/92 Falk et al. ΑH 5,132,445 06/93 Clark et al. ΑI 5,218,021 08/93 Kallfass et al. 5,240,990 AJ ΑK 5,310,870 05/94 Peavy ΑL 02/95 Hanen et al. 5,391,721 FOREIGN PATENT DOCUMENTS Translation Document Date Country Class Subclass Number Yes No US2005/003138 01/05 PCT-IPRP/Written AM X Opinion ΑN Χ US05/03138 01/05 PCT-Int'l Search Rpt AO 7-793 07/95 Japan X AP 2-12681 02/90 Japan X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) AQ **EXAMINER** DATE CONSIDERED

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date
		Number					ii Appi	орпан
	AA	5,395,997	03/97	Van Der Puy, et al.				
	АВ	5,439,998	08/95	Lina et al.				
	AC	5,478,486	12/95	Incorvia				
	AD,	5,491,261	02/96	Haniff et al.				
	AE	5,508,099	04/96	Incorvia				
	AF	5,534,192	07/96	Incorvia et al.				
	AG	5,539,024	07/96	Kirchmeyer et al.				
	АН	5,547,711	08/96	Kirchmeyer et al.				
	ΑI	5,629,372	05/97	Anton et al.				
	AJ	5,639,845	06/97	Inomata et al.				
	AK	5,648,527	07/97	Prossel et al.				
	AL	5,648,528	07/97	Prossel et al.				
			FOREIC	GN PATENT DOCUMENT	rs	-		
		Document	Date	Country	Class	Subclass	Trans	lation
		Number		,			Yes	No
	AM	WO 94/18245	08/94	PCT			Х	
	AN	WO 98/19742	05/98	PCT			X	
	AO	WO 01/27235 A1	04/01	PCT			Х	
	AP							
		OTHER REFER	FNCES (i	ncluding Author, Title, Date,	Portinont Pa	age Etc.)		L.,

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Examiner	ſ	Document	· · · · · · · · · · · · · · · · · · ·	PATENT DOCUMENTS			Filing Date
Initial		Number	Date	Name	Class	Subclass	If Appropriate
	АА	6,197,382 B1	03/01	Ornstein et al.			
	АВ	6,218,464 B1	04/01	Parker et al.			
	AC	6,235,951	05/01	Sakyu et al.			
	AD	6,284,853 B1	09/01	Yamana et al.			
	AA E	6,294,107 B1	09/01	Peters et al.			
	AF	6,297,308 B1	10/01	Jariwala et al.			
	AG	6,379,578 B1	04/02	Shiga			
•	АН	6,383,569 B2	05/02	Ornstein et al.			
	Al	6,486,245 B1	11/02	Thünemann et al.			
	AJ	6,451,717 B1	09/02	Fitzgerald et al.			
	AK	6,509,300 B1	01/03	Gupta			
• • • • • • • • • • • • • • • • • • •	AL	6,525,127 B1	02/03	Jariwala et al.			
	AM	6,536,804 B1	03/03	Dunsmore et al.			
	AN	6,566,470 B2	05/03	Kantamneni et al.			
	AO	6,613,862 B2	09/03	Clark et al.			
	АР	6,646,088 B2	11/03	Fan et al.			
	AQ	6,800,788 B2	10/04	Bradley et al.			
	AR	6,803,109 B2	10/04	Qiu et al.			
	AS	6,803,425 B2	10/04	Hintzer et al.			
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Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	6,809,216 B2	10/04	Bradley et al.			
	АВ	6,814,880 B1	11/04	Graf et al.			
	AC	6,818,253 B2	11/04	Kimbrell			
	AD	6,818,717 B2	11/04	Kantamneni			
	AE	6,821,496 B2	11/04	lgumnov et al.			
	AF	6,824,754 B2	11/04	Subramaniam et al.		-	
	AG	6,824,882 B2	11/04	Boardman et al.			
	АН	6,830,703 B2	12/04	Klug et al.			
	AI	6,833,419 B2	12/04	Morschhäuser et al.			
	AJ	2001/0000343	04/01	Bowers			
	AK	2002/0042034	04/02	Yoshioka			
	AL	2003/0013924	01/03	Howell et al.		,	-
	АМ	2003/0092862	05/03	Thomas et al.			
	AN	2003/0109662	06/03	Medsker et al.			
	АО	2003/0153780	08/03	Haniff et al.			
	AP	2003/0195314	08/03	Buckanin et al.			
	AQ	2003/0207202	11/03	Fujita et al.			